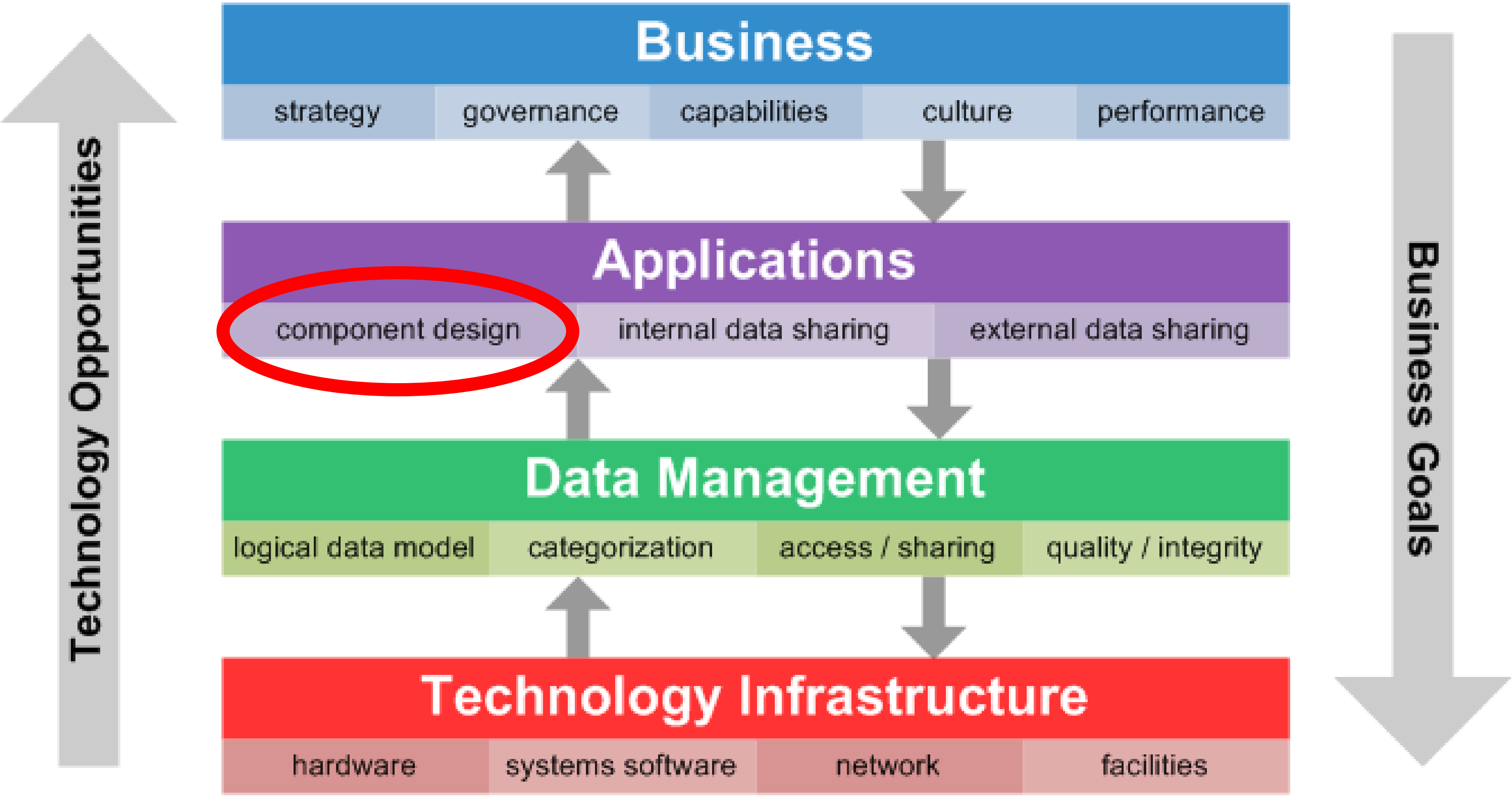
NODS,
Court Component Model,
Etc.

Code for Justice – November 2023

National Center for State Courts

Court Technology Framework (CTF)

JOINT TECHNOLOGY COMMITTEE
COSCA | NCSC | NACM


Technology Opportunities

Business
strategy | governance | capabilities | culture | performance

Applications
component design | internal data sharing | external data sharing

Data Management
logical data model | categorization | access / sharing | quality / integrity

Technology Infrastructure
hardware | systems software | network | facilities

Business Goals

www.ncsc.org/ctf

Court Component Model (CCM)

Case Management Components

- Case Manager
- Case Participant Manager
- Accounting / Financial
- Scheduling / Calendaring
- Document / Content Mgmt.

Case Information Interfaces

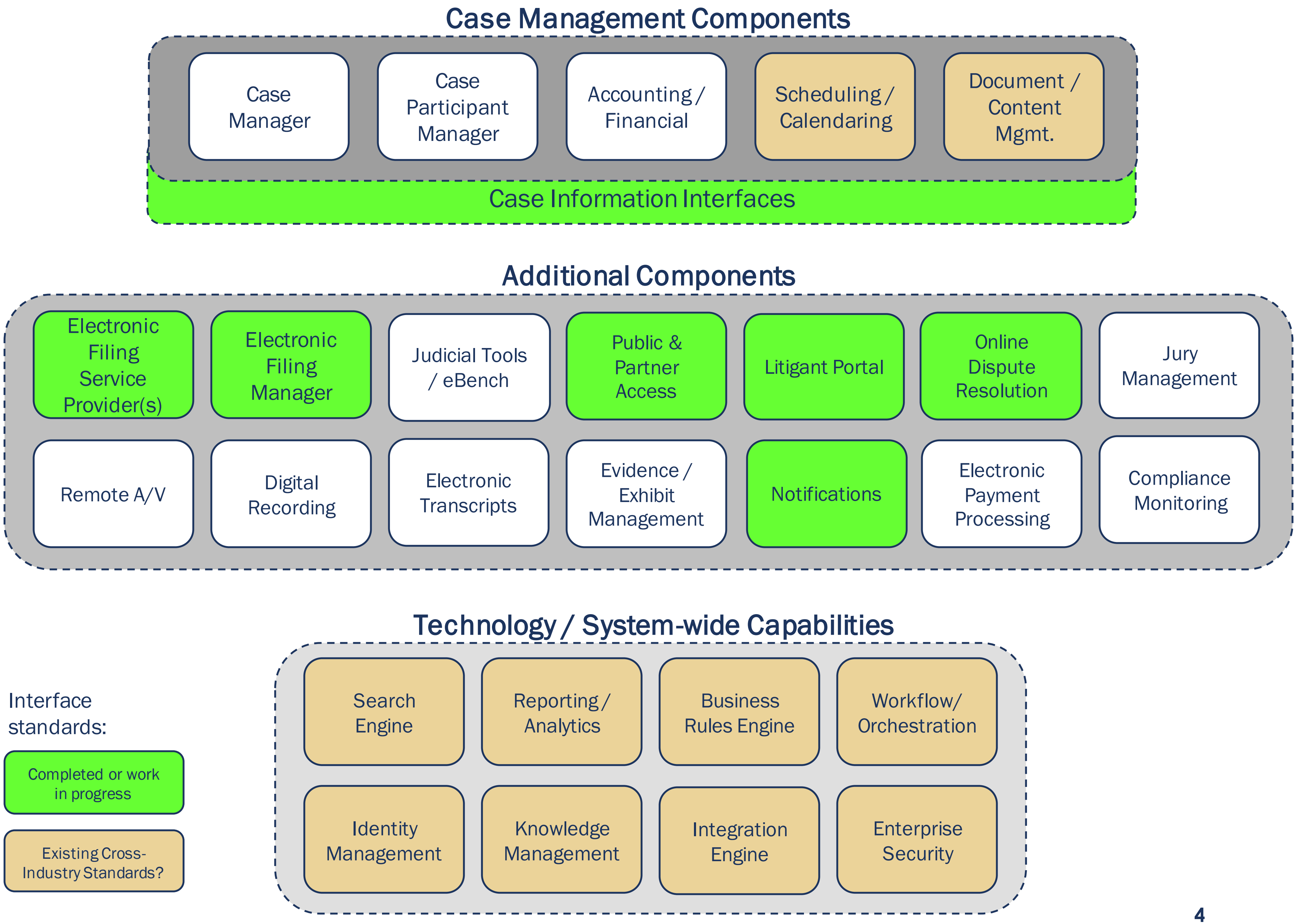
Additional Components

- Electronic Filing Service Provider(s)
- Electronic Filing Manager
- Judicial Tools / eBench
- Public & Partner Access
- Litigant Portal
- Online Dispute Resolution
- Jury Management
- Remote A/V
- Digital Recording
- Electronic Transcripts
- Evidence / Exhibit Management
- Notifications
- Electronic Payment Processing
- Compliance Monitoring

Technology / System-wide Capabilities

- Search Engine
- Reporting / Analytics
- Business Rules Engine
- Workflow/ Orchestration
- Identity Management
- Knowledge Management
- Integration Engine
- Enterprise Security

Court Component Model (CCM)



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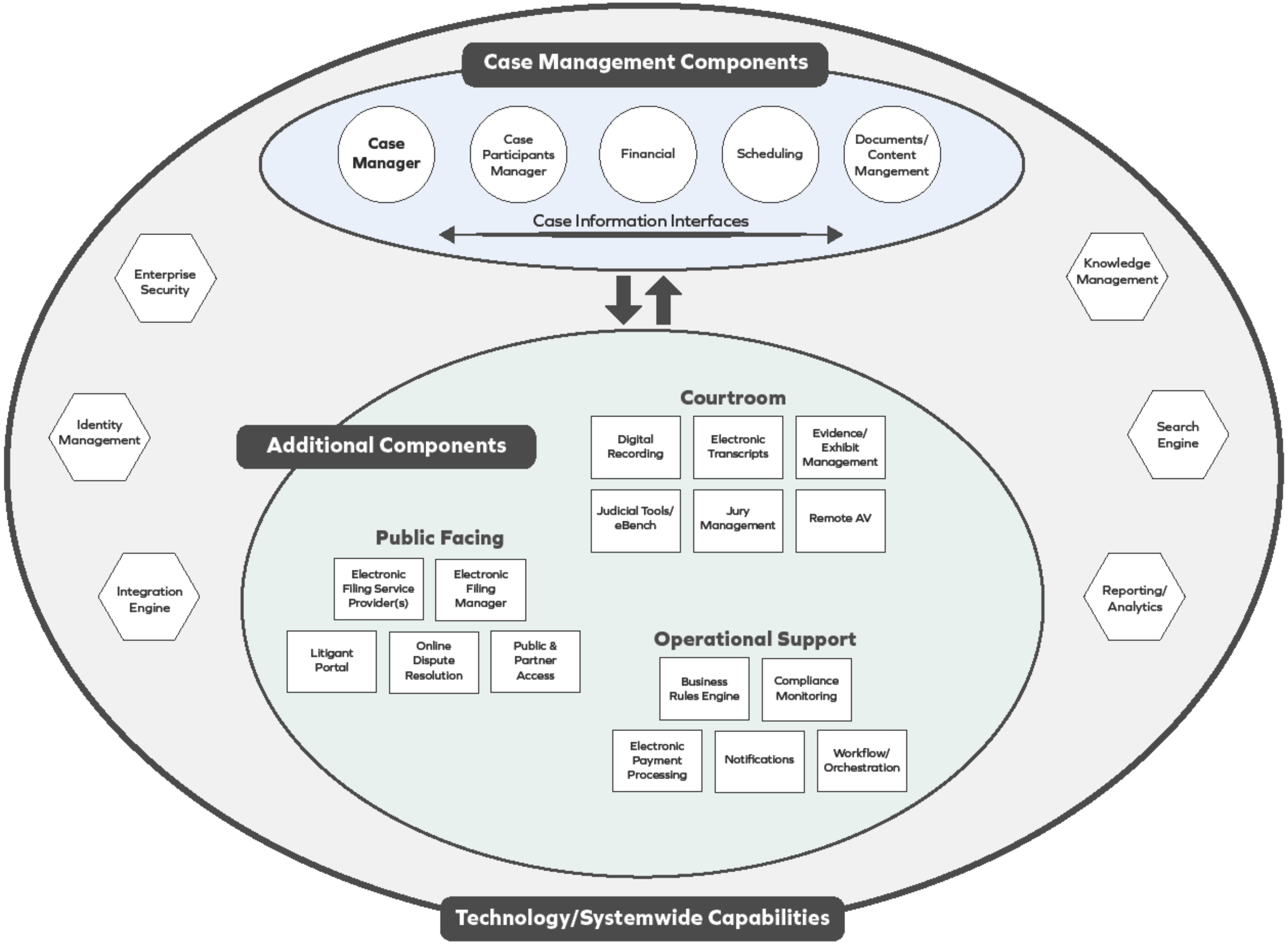
Interface standards:

- 🟩 Completed or work in progress
- 🟨 Existing Cross-Industry Standards?

COURT COMPONENT MODEL



Case Management Components

Case Manager

Case Participants Manager

Financial

Scheduling

Documents/ Content Mangement

Case Information Interfaces

Enterprise Security

Identity Management

Integration Engine

Knowledge Management

Search Engine

Reporting/ Analytics

Additional Components

Courtroom

- Digital Recording
- Electronic Transcripts
- Evidence/ Exhibit Management
- Judicial Tools/ eBench
- Jury Management
- Remote AV

Public Facing

- Electronic Filing Service Provider(s)
- Electronic Filing Manager
- Litigant Portal
- Online Dispute Resolution
- Public & Partner Access

Operational Support

- Business Rules Engine
- Compliance Monitoring
- Electronic Payment Processing
- Notifications
- Workflow/ Orchestration

Technology/Systemwide Capabilities

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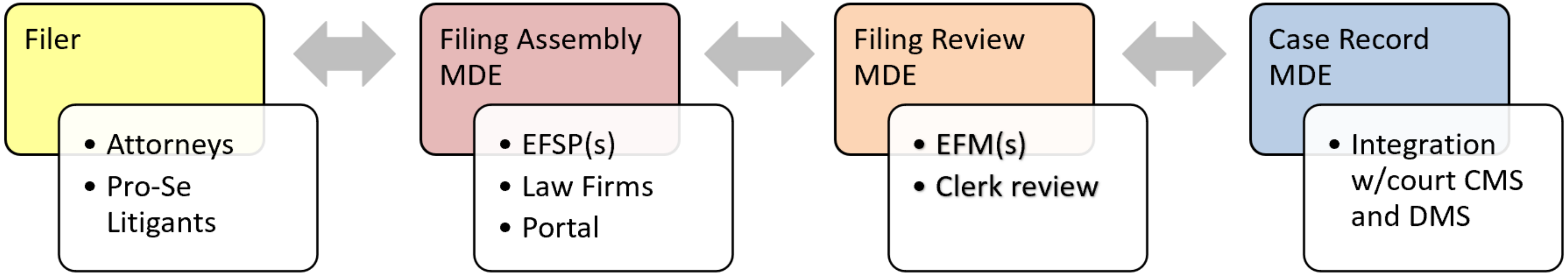
ECF Example (Electronic Court Filing)

- OASIS Standard developed by the ECF Technical Committee
- Contributions from court practitioners, public- and private-sector developers
- ECF 5.0 committee spec just released with enhancements that include court scheduling, conformance with NIEM 4.0, and use of NIEM augmentations.
- Specifications: https://docs.oasis-open.org/legalxml-courtfiling/ecf/v5.0/csprd01/

OASIS OPEN



Filer
- Attorneys
- Pro-Se Litigants

Filing Assembly MDE
- EFSP(s)
- Law Firms
- Portal

Filing Review MDE
- EFM(s)
- Clerk review

Case Record MDE
- Integration w/court CMS and DMS

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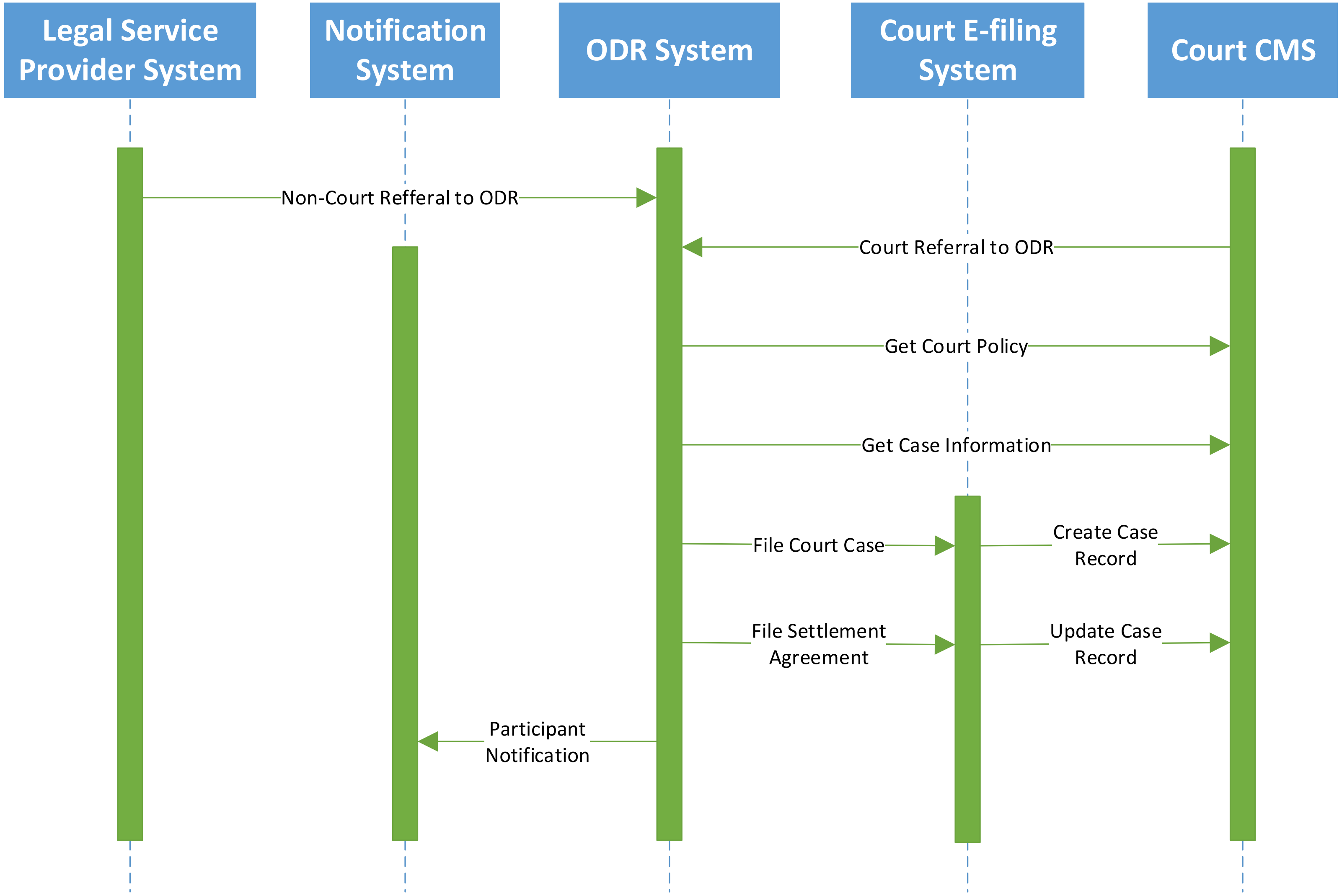
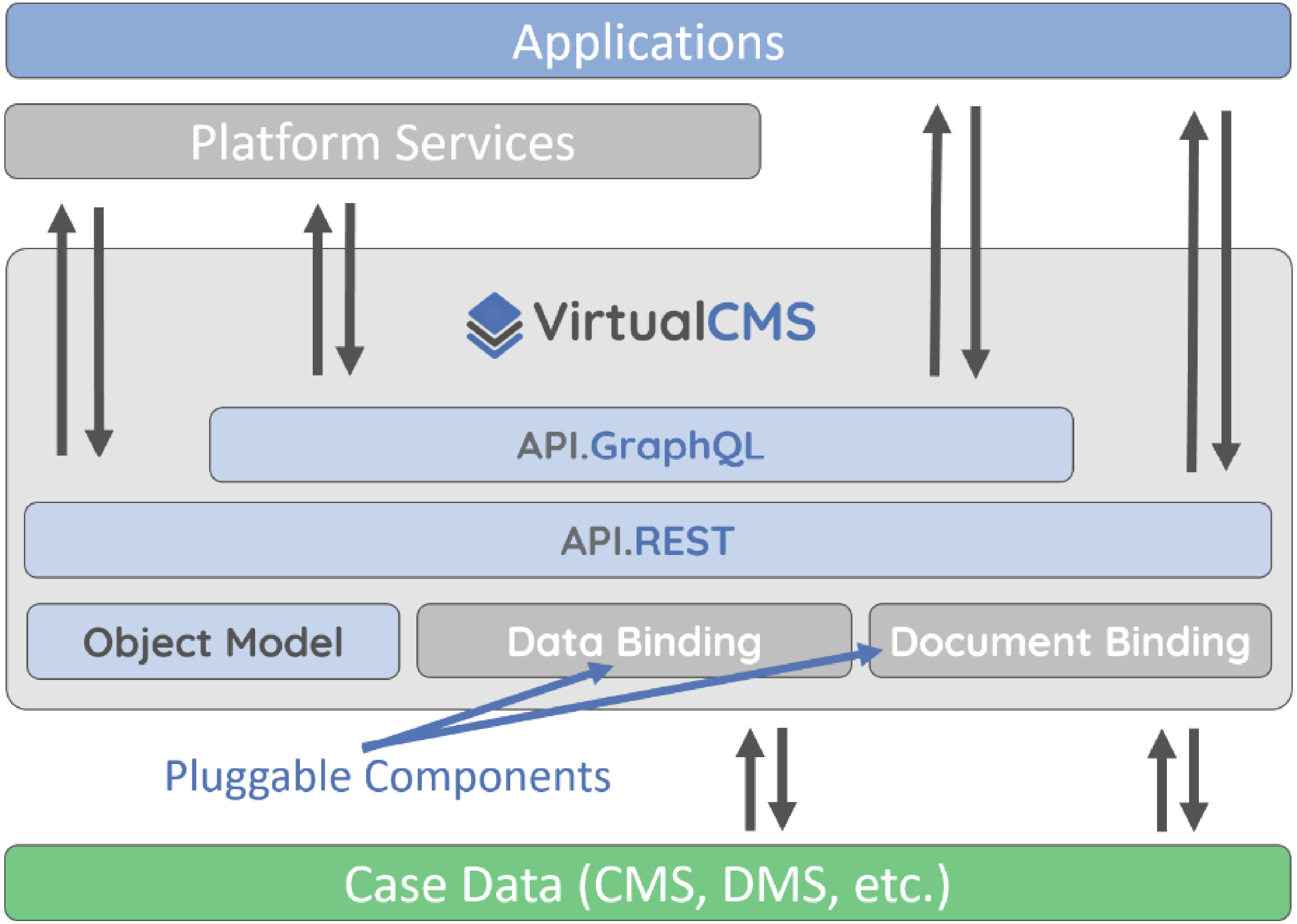
ODR Example (Online Dispute Resolution)

ODR Interface Standards


Legal Service Provider System
Notification System
ODR System
Court E-filing System
Court CMS
Non-Court Refferal to ODR
Court Referral to ODR
Get Court Policy
Get Case Information
File Court Case
Create Case Record
File Settlement Agreement
Update Case Record
Participant Notification

California CourtStack Example

www.courtstack.org



Applications

Platform Services

VirtualCMS

API.GraphQL

API.REST

Object Model

Data Binding

Document Binding

Pluggable Components

Case Data (CMS, DMS, etc.)

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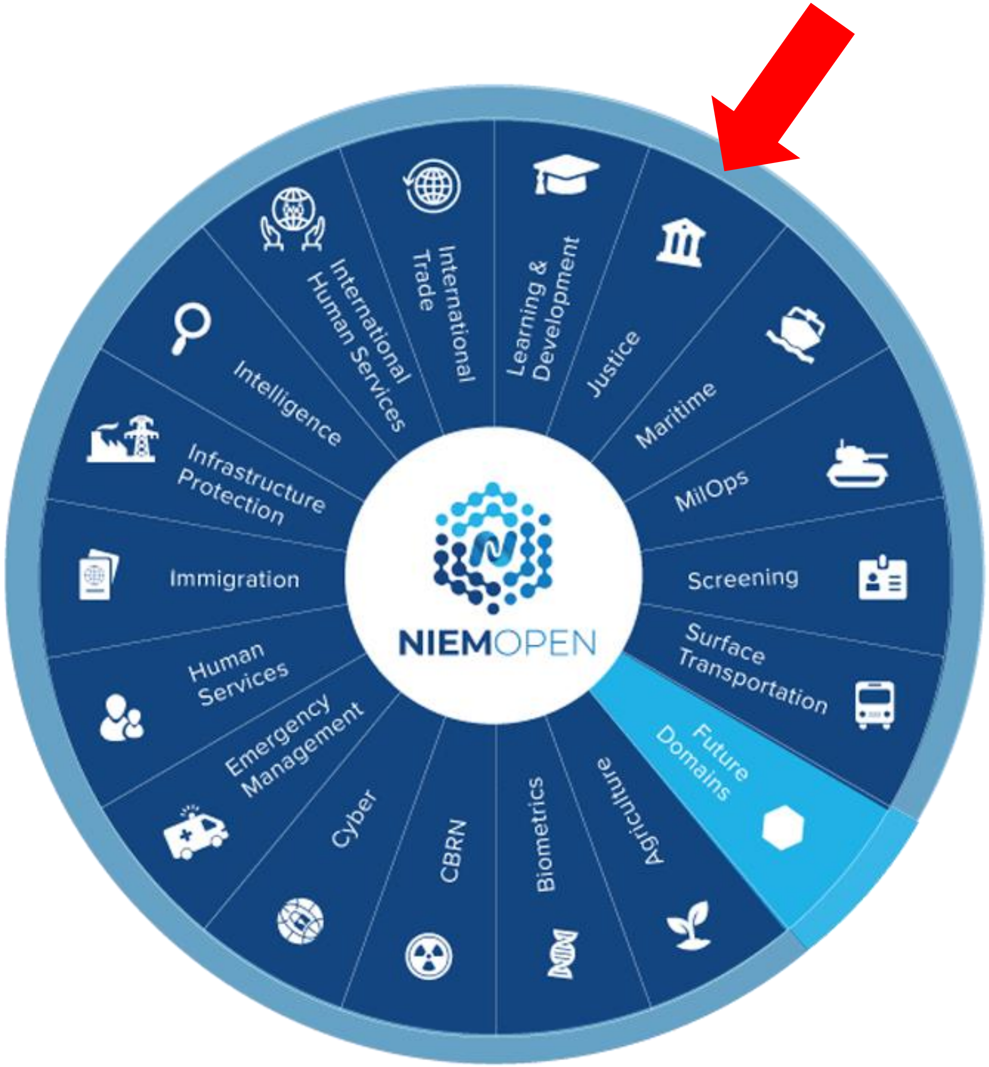
NIEM

- Provides a common vocabulary facilitating information sharing across 17+ domains
- Now part of OASIS as an “Open Project”
  - More sustainable support for open collaboration, governance, open process, etc.
  - Allows for contributions from government, practitioners, developers, private orgs, etc.
- Information Exchange Development Lifecycle
- Working on a CMF to allow for translation to XML, JSON, etc.
- More info: https://niemopen.org/



NIEMOPEN
Learning & Development
Justice
Maritime
MilOps
Screening
Surface Transportation
Future Domains
Agriculture
Biometrics
CBRN
Cyber
Emergency Management
Human Services
Immigration
Infrastructure Protection
Intelligence
International Human Services
International Trade

National Open Court Data Standards (NODS)

National Open Court Data Standards
www.ncsc.org/nods

- Original purpose of NODS:
  - Provide for transparency in court operations
  - Make court data available to researchers, policymakers, media, and the public in a consistent manner that reduces errors and misinterpretation
  - Reduce the burden on court system staff in responding to data requests
- Other uses:
  - Designing a data warehouse
  - Specific data exchanges
  - Point of reference when designing or enhancing a CMS
  - Gap analysis with existing CMS
  - Others?

NODS Includes

www.ncsc.org.nods

Leadership Guide
An overview of the NODS project and its purposes with guidance on why courts may choose to implement the data standards.

Data Elements Spreadsheet
A description of all data elements with definitions and value lists.

Users Guide
Discussion of the data elements listed in the spreadsheet, their use, and mapping guidance.

Data Element Mapping Tool
The data elements spreadsheet with columns added to capture whether an element is already collected, whether it's needed by the court, and where it's currently collected. This version also indicates elements important for CourTools and for annual reporting to the Court Statistics Project.

Technical Notes
Brief technical document explaining the NODS logical data models and contents of the ZIP files with technical artifacts.

Technical Data Models
Illustrations of the relationships between entities and the logical groups of attributes.

Ongoing work on NODS

- **NODS Steering Committee**
  - Court practitioners from numerous states
  - Other groups – NCSC, JTC, OJP, SEARCH
  - Provides guidance and considers requests for updates, new elements, etc.
- **NODS Updates**
  - New elements or values in NODS spreadsheet
  - Planning updates to technical artifacts
    - New elements
    - Consolidation of conceptual models (currently by case type)

Court implementations

- Data warehouse projects
- Use case cases involving specific data sharing
- TA available

Implementers Forum

- Quarterly virtual meeting
- Participation includes 12 states so far (AL, AZ, DC, GA, IL, IN, MD, NJ, PA, SC, TX, VT)
- Sharing ideas, experiences, new use cases, etc.
- Any court implementing NODS is welcome

Are there any courts present who are implementing NODS?

Questions / Discussion

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